

# West Bengal State Rural Development Agency

(An Agency under P & RD Department)

Joint Administrative Building, Block-HC -7, Sector-III

Salt Lake, Kolkata-700 106

No. FC -746/WBSRDA/2A-3/2006.

dt - 21/05/2015

## **Invitation for Expression of Interest for External Audit of Pradhan Mantri Gram Sadak Yojana Works in West Bengal**

West Bengal State Rural Development Agency an autonomous body of West Bengal, invites Expression of Interest (EOI) from reputed Chartered Accountants firms having head office/branch office in the state and empanelled with C & AG of India, who wish to undertake the work of External audit (Statutory Audit) of Program Implementing Units (PIU) and State Rural Development Agency located in the State of West Bengal for 2014-15.

For smooth running of external audit, the PIUs in the State have been divided into 2 clusters as per Annexure. One of the clusters will cover the task of audit of WBSRDA as well as the consolidated State level PMGSY Annual Financial Statements and the auditor for the cluster designated as the 'Principal Auditor'. Firms may indicate their interest in one or more clusters. Separate evaluation process shall be followed for selecting the auditor for each of the clusters. List of PIUs is attached herewith.

Based on the ((EOI), firms would be short listed and invited to submit technical and financial offer. The basis of criteria for short listing may be seen from our web site. The process would be quality and cost based selection method. The firms must include in their EOI the following information with documentary evidence:-

1. Date on constitution of firm and turnover of firm from audit and assurance services during last three years;
2. Details of partners, full time staff indicating the nature of specialization, qualifications and experience considering requirements for the current assignment;
3. The general and overall experience during last financial years of the firms including experience of audit of projects financed by bilateral and multilateral agencies, projects in the same sector, agencies implementing government project, Public Works Accounts etc.
4. Present location of head office, branch offices with names of partner/CA in charge of branch.

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• Principal Auditor' means the auditor with responsibility for reporting on the financial information of an entity when that information includes the financial information of one or more components audited by another auditor.

\*\* Turnover is defined as professional fee earned from audit and assurance services and exclude service taxes and travelling, if billed separately.

\*\*\* In support of meeting the Minimum Eligibility Criteria, the following documentation should be submitted: (a) A self-attested copy of the latest empanelment intimation letter issued by the CAG containing the Unique Identification Number and the score. The PAF confirms that it is included in the panel for large audits (the panel on the CAG website); (b) A certificate from the firm to the effect that no partner of the firm has been found guilty of professional /other misconduct by the Institute of Chartered Accounts on India under the first or Second Schedule of the Chartered Accounts Act, 1949 or is one against whom disciplinary section order have been passed by the Public Companies Accounts Oversight Board. In case the firm has such partners, the firm provides details of such partners and certifies that they will not be associated with the audit in any manner;

Contd.2



The firms must satisfy following criteria failing which they will be summarily disqualified:-

- The applicant is **empanelled with the C & AG** in the panel of audit firms eligible for major audits  
The audit firm is not incumbent Internal Auditor of WBSRDA.
- No partner of the audit firm or any qualified employee of the firm is related to any number of the Governing Body /Executive Committee/ Board of Director or the Project Director / Managing Director / any Director or any of the senior management (as applicable) of the PIA. Relative would mean husband, wife, brother, or sister or any lineal ascendant.
- Neither the firm nor its Partners or Associate have any interest in the business of the project.
- From the time of appointment and for one year after (to be counted from the date of issue of final audit report) the Firm ceases to be Auditor, no other assignment of any kind to the Project (including consultancy) will be accepted, either by the firm or by its partners or relatives of the partners of the firm or by its associates.
- The audit will not be done by a person who was either an employee in the project or a partner /employee of the retiring auditor, unless such person is employed with the firm for at least one year.
- The firm is not one against which disciplinary orders have been issued by the Public Companies Accounting Oversight Board and these orders are in force.

The EOI should be delivered at the address given below during working hours 10.00 hours to 17.00 hours, on or before 05.06.2015 positively. The firm should submit the EOI in an envelope duly sealed marked clearly "Expression of Interest for External Audit of PMGSY Projects in West Bengal for 2014-2015"

Name of Officer: Ajit Kumar Mallik, Financial Controller, WBSRDA.

Name of Office: West Bengal State Rural Development Agency, P & RD Deptt., Joint Administrative Building, Block-HC -7, Sector-III, Salt Lake, Kolkata-700 106

Contact Number: 033-2334-3373

Email ID: [fcwbsrda12@gmail.com](mailto:fcwbsrda12@gmail.com)

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(c) A declaration signed by an authorized partner of the audit firm verifying that the applicant is independent of the entity to be audited that they have no relationship with entity to be audited ( in particular, the auditor should not be employed by, serve as director for, or have any financial or close business relationship with the entity during the period(s) covered by the audit or immediately thereafter for a period of two years); (d) A self-attested copy of the latest firm constitution certificate issued by the ICAI (*this certificate shall also act as evidence for verifying the Date of Constitution of the firm*). This certificate should not be older than 30 days as on the date of the EOI; (E) Self certified statement giving the date of establishment of the branch and name of Partner /CA qualified employee in-charge of the firm;

| Sl No. | PIUs                       | Cluster No. |
|--------|----------------------------|-------------|
| 1      | North 24 Parganas          | 1           |
| 2      | South 24 Parganas          | 1           |
| 3      | Bankura                    | 1           |
| 4      | Birbhum                    | 1           |
| 5      | Burdwan                    | 1           |
| 6      | Burdwan-2                  | 1           |
| 7      | Hooghly                    | 1           |
| 8      | Nadia                      | 1           |
| 9      | Purulia                    | 1           |
| 10     | Purba Mednipur             | 1           |
| 11     | Head Quarter               | 1           |
| 12     | Howrah                     | 1           |
| 13     | Howrah- 2                  | 1           |
| 14     | PaschimMednipur            | 2           |
| 15     | PaschimMednipur-2          | 2           |
| 16     | Cooch Behar                | 2           |
| 17     | Cooch Behar-2              | 2           |
| 18     | Darjeeling                 | 2           |
| 19     | Jalpaiguri                 | 2           |
| 20     | Malda                      | 2           |
| 21     | Murshidabad                | 2           |
| 22     | Uttar Dinajpur             | 2           |
| 23     | Siliguri Mahakuma Parishad | 2           |
| 24     | Dakshin Dinajpur           | 2           |
| 25     | NBCC Paschim Mednipur      | 2           |
| 26     | NPCC Paschim Mednipur      | 2           |

  
Financial Controller  
WBSRDA